

17611 U.S. PTO  
101703

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	1774-4-8
First Inventor	Calum E. Macaulay, et al.
Title	Methods and Apparatus for Imaging Using a Light Guide Bundle and a Spatial Light Modulator
Express Mail Label No.	ER452766120US

22386 U.S. PTO  
101688783

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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																	
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (Total Pages <input type="text" value="47"/> ) (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets <input type="text" value="8"/> ) 5. Oath or Declaration (Total Sheets <input type="text" value="3"/> ) a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies <b>ACCOMPANYING APPLICATIONS PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____																	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>09 / 738,257</u> Prior application information: Examiner <u>John P. Leubecker</u> Art Unit: <u>3739</u> <b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.																			
<b>19. CORRESPONDENCE ADDRESS</b> <input checked="" type="checkbox"/> Customer Number <input type="text" value="00996"/> OR <input type="checkbox"/> Correspondence address below <table border="1"> <tr> <td>Name</td> <td colspan="3"></td> </tr> <tr> <td>Address</td> <td colspan="3"></td> </tr> <tr> <td>City</td> <td>State</td> <td>Zip Code</td> <td></td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td>Fax</td> <td></td> </tr> </table>				Name				Address				City	State	Zip Code		Country	Telephone	Fax	
Name																			
Address																			
City	State	Zip Code																	
Country	Telephone	Fax																	
Name (Print/Type) Joshua King		Registration No. (Attorney/Agent) 35,570																	
Signature 		Date October 17, 2003																	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1774-4-3

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number: EJ754983108US

Date of Deposit: December 14, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR, Section 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 by

  
Signature

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

Enclosed for filing is the patent application of:

Inventors: Calum E. MacAulay  
Andrew L.P. Dlugan  
Pierre M. Lane

Title: METHODS AND APPARATUS FOR IMAGING USING A LIGHT  
GUIDE BUNDLE AND A SPATIAL LIGHT MODULATOR

Including:

- ☒ 1. A specification including claims consisting of 47 pages.
- ☒ 2. Informal drawings consisting of 8 sheets.
- ☐ 3. Declaration and Power of Attorney.

Additionally:

- ☐ 4. An assignment of the invention to \_\_\_\_\_ is attached.
- ☒ 5. A filing date in accordance with 37 CFR 1.10 is requested  
(Express mail certificate is part of this transmittal letter).

X 6. COMPUTATION OF FEE

Claims	Number Filed	Number Extra	Basic Fee \$710/\$355
Total	52 -20=	32	x\$18/\$9= \$288.00
Indep.	6 - 3=	3	x\$80/\$40= \$120.00
Multi Dep.	xxx	xxx	x\$260/\$130= \$130.00

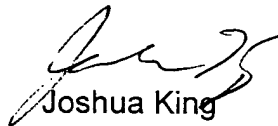
TOTAL Filing Fee: \$893.00

Assignment Recording Fee (\$40.00): \$0.00

- X 7. Applicant claims small entity status.  
\_\_\_ 8. Check No. \_\_\_ for \$.00 for filing fee is enclosed.  
\_\_\_ 9. Check No. \_\_\_ for \$.00 for assignment fee is enclosed.  
X 10. No fee is enclosed at this time.  
\_\_\_ 11. Charge any additional fees to Deposit Account No. 07-1897.  
\_\_\_ 12. A copy of this letter is enclosed.  
X 13. A postcard is enclosed as acknowledgment of receipt of this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



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